

PHYSICAL SECURITIES DEPOSIT FORM 證券實貨存入表格

(Share certificate(s) must be delivered directly from client to staff of Settlement Department or Dealing Department of Centaline Securities 證券實貨必須由客戶直接送交中原證券交收部或營業部的職員)

Date of deposit of physical certificate 實貨存入日期： _____

Account No. 賬戶號碼 _____ Account Name 賬戶名稱 _____

Stock Code 股份編號	Stock Name 股份名稱	Name of Certificate Holder 持有人名稱	No. of Shares 股份數量

Notes :

1. Securities received for deposit or through CCASS are subject to the rules and regulations of HKSCC.
2. Cut-off time for physical deposit of share certificate is 3:45 p.m. Deposit in later time slot will be treated as deposit in next business day.
3. Physical deposit made before the above cut-off time (i.e. 3:45 p.m.) will be stated in client's daily statement on the same day and the deposited shares can be sold on the same day.
4. If the shares are subsequently sold, client is not allowed to withdraw the sale proceeds until the 11th business day after the shares are duly deposited.

註:

1. 經由中央結算系統收存之證券需受中央結算公司之規則及條例所約束。
2. 證券實貨存入截止時間為下午3:45，繼後時間存入會作為下個工作日存入。
3. 若證券實貨於上述存入截止時間(即下午3:45)前存入，存入的實貨會在客戶的當日日結單顯示，並客戶可以在當日賣出該證券。
4. 若客戶隨後賣出證券，所得資金在證券存入客戶後第11個工作日才可以提取。

 Client's Signature (s) 客戶簽署
 (Specimen signatory maintained with the Company
 請用留存本行之有效簽署式樣)

 Acknowledge receipt of share certificates
 (sign by Settlement staff/dealer of Centaline Securities)
 (由中原證券交收部或營業部職員簽收證券實貨)

Note: Client should be given copy of the signed copy of this form for record.

註: 本表格簽妥後，副本須給予客戶保存。

Certificate received by & time 接收實物股票人員及時間	Signature Verified 核對客戶簽署	Input for physical deposit 輸入實貨存入	Authorizer & Checker 授權及核對人