

CENTALINE SECURITIES LIMITED

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中原證券有限公司

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CHANGE OF CLIENT INFORMATION 客戶資料更改表格
KNOWLEDGE OF DERIVATIVE PRODUCT 對衍生產品的認識

客戶姓名 Name of client: _____

客戶號碼 Client no. : _____

對衍生產品的認識 Knowledge of Derivative Product
(請提供資料更改的證明 Please provide proof for the change)

	個人或聯名戶口持有人 Individual/Primary Joint Account Holder	聯名戶口持有人 Secondary Joint Account Holder
對衍生產品的認識 Knowledge of Derivative Products	<input type="checkbox"/> 本人理解衍生產品的特質及風險，因為 I understand the characteristics and risks of derivative products by 1. <input type="checkbox"/> 曾接受衍生產品的培訓或修讀相關課程 Underwent training or attended courses about derivative products 2. <input type="checkbox"/> 曾於金融、監管機構或交易所從事相關工作 Working experiences related to derivative products 3. <input type="checkbox"/> 過去三年內，進行過逾五次有關衍生產品的交易 Executing five or more transactions in derivative products within the past 3 years <input type="checkbox"/> 本人並不認識衍生產品 I have NO knowledge of derivative products	<input type="checkbox"/> 本人理解衍生產品的特質及風險，因為 I understand the characteristics and risks of derivative products by 1. <input type="checkbox"/> 曾接受衍生產品的培訓或修讀相關課程 underwent training or attended courses about derivative products 2. <input type="checkbox"/> 曾於金融、監管機構或交易所從事相關工作 working experiences related to derivative products 3. <input type="checkbox"/> 過去三年內，進行過逾五次有關衍生產品的交易 executing five or more transactions in derivative products within the past 3 years <input type="checkbox"/> 本人並不認識衍生產品 I have NO knowledge of derivative products

個人或聯名戶口持有人簽署式樣 Individual/Primary Joint Account Holder's Signature and Specimen _____ 客戶姓名 Name of client: _____ 日期 Date: _____	聯名戶口持有人簽署式樣 Secondary Joint Account Holder's Signature and Specimen _____ 客戶姓名 Name of client: _____ 日期 Date: _____
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Internal Use Only	
Approved by RO	Client signature verified by Settlement